



MP #4  
PATENT  
Atty. Docket No.: 3524/52  
Application of BLOOMBERG, *et al.*  
Serial. No. 10/081,132

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Michael R. BLOOMBERG, *et al.*  
Serial No.: 10/081,132 Examiner: Not Yet Assigned  
Filing Date: February 21, 2002 Group Art Unit: 2681  
Title: **COMPUTER TERMINALS BIOMETRICALLY ENABLED FOR  
NETWORK FUNCTIONS AND VOICE COMMUNICATION**

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO "NOTICE TO FILE MISSING PARTS OF NON  
PROVISIONAL APPLICATION--FILING DATE GRANTED"  
AND REQUEST FOR EXTENSION OF TIME**

Sir:

**PETITION FOR EXTENSION OF TIME**

A one-month extension of time to and including June 18, 2002 is hereby requested  
under 37 C.F.R. §1.136(a) to respond to the Notice. The extension fee of \$110 required by 37  
C.F.R. §1.17(a)(3) is attached.

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

We have received a Notice To File Missing Parts of Nonprovisional Application--  
Filing Dated Granted mailed on March 18, 2002. Enclosed are:

- a copy of the Notice To File Missing Parts;

06/18/2002 JBALINAN 00000114 10081132

01 FC:115

110.00 DP

BRMFS1 320952v1

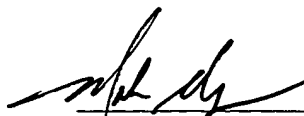
- an executed Declaration and Power of Attorney identifying the application by its application number and filing date;
- substitute formal drawings in compliance with 37 CFR 1.84 (3 sheets, FIGS. 1-3); and
- a Firm check in the amount of \$1,686 in payment therefor (\$740 basic filing fee; \$706 surcharge for additional claims; \$130 surcharge for late Declaration; and \$110 for a one-month extension of time).

An executed Assignment with recordal and a separate check in the amount of \$40 are also attached.

The Commissioner is hereby authorized to charge any deficiency in fees or fees which may be required during the entire pendency of this application, or to credit any overpayments, to the undersigned attorney's Deposit Account No. 02-4270. I certify that this paper, together with any documents referred to as attached or enclosed, are being deposited this date with the United States Postal Service with sufficient postage as first class mail, addressed to the Commissioner of Patents, Washington, D.C., 20231.

Respectfully submitted,

7 JUNE 2002



Matthew J. Marquardt, Reg. No. 40,997  
BROWN RAYSMAN MILLSTEIN  
FELDER & STEINER LLP  
900 Third Avenue  
New York, New York 10022  
(212) 895-2000

PATENT

Atty. Docket No.: 3524/52

Application of *BLOOMBERG, et al.*

U.S. Serial No. 10/081,132



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants:

Michael R. BLOOMBERG, *et al.*

Serial No.:

10/081,132

Examiner:

Not Yet Assigned

Filing Date:

February 21, 2002

Group Art Unit: 2681

Title:

**COMPUTER TERMINALS BIOMETRICALLY ENABLED FOR NETWORK  
FUNCTIONS AND VOICE COMMUNICATION**

Application Processing Division's Customer Correction Branch  
Commissioner of Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Please correct the filing receipt to include the inventors, rather than "Bloomberg LP, Residence not Provided." The inventors' names and residences follow. A copy of the Filing Receipt bearing the correction in a typed insert is attached.

Michael R. Bloomberg, New York, NY  
John D. Wanners, Pelham, NY  
Helmars Ozolins, Orient, NY

The Commissioner is hereby authorized to charge any deficiency in fees or fees which may be required during the entire pendency of this application, or to credit any overpayments, to the undersigned attorney's Deposit Account No. 02-4270.

I certify that this paper, together with any documents referred to as attached or enclosed, are being deposited this date with the United States Postal Service with sufficient

postage as first class mail, addressed to the Commissioner of Patents, Washington, D.C.,

20231.

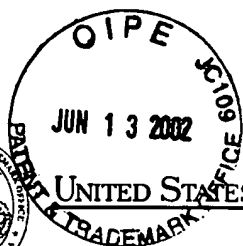
Respectfully submitted,

Dated: 7 June 2002



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Matthew J. Marquardt  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,132	02/21/2002	Bloomberg LP	3524/52

CONFIRMATION NO. 8536

## FORMALITIES LETTER



\*OC000000007661891\*

Brown Raysman Millstein Felder & Steiner LLP  
900 Third Avenue  
New York, NY 10022

Date Mailed: 03/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/18/2002 JBALINAN 00000114 10081132

FILED UNDER 37 CFR 1.53(b)

02 FF:101 740.00 OP  
03 FF:102 336.00 OP  
04 FF:103 90.00 OP  
05 FF:104 280.00 OP  
06 FF:105 130.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$706.
  - \$90 for 5 total claims over 20.
  - \$336 for 4 independent claims over 3 .
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1576.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

D. W. Wiley

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

110912-051307



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/081,132	02/21/2002	2681	0.00	3524/52	5	20	7

CONFIRMATION NO. 8536

## FILING RECEIPT



\*OC000000007661890\*

Brown Raysman Millstein Felder & Steiner LLP  
900 Third Avenue  
New York, NY 10022

Date Mailed: 03/18/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~Bloomberg LP, Residence Not Provided,~~

Michael R. Bloomberg, New York, NY;  
John D. Waanders, Pelham, NY; and  
Helmars Ozolins, Orient, NY;"

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 03/18/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

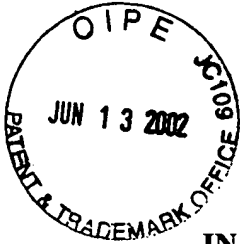
Computer terminals biometrically enabled for network functions and voice communication

## Preliminary Class

455

LICENSE FOR FOREIGN FILING UNDER

RECORDS UPDATED  
03/24/02 *te*



PATENT  
Application of *BLOOMBERG, et al.*  
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Washington, DC 20231

S I R :

**TRANSMITTAL OF FORMAL DRAWINGS**

Applicant hereby submits 5 sheets of formal drawings, containing FIGS. 1-8.

I hereby certify that this paper and each of the attached documents is being deposited  
this date with the U.S. Postal Service as first class mail, postage prepaid, addressed to the  
Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,

Date: 7 June 2002

Matthew J. Marquardt  
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